Experience Institutional Process & Judgement

Active management at competitive prices – enhancing customer outcomes

Alpha βeta PARTNERS

www.alphabetapartners.co.uk

defaqto 2020 DFM MPS on Platform

defaqto 2020 DFM MPS (Platform) Portfolio Family

EXECUTIVE SUMMARY

- Alpha Beta Partners is a globally focused multi asset manager and the parent company of AB Investment Solutions Ltd (Formerly MitonOptimal UK Ltd)
- Alpha Beta collaborates with adviser firms and platforms to provide institutional quality active portfolio management for the retail market.
- A long track record in ESG and SRI investments and research having been early adopters over 11 years ago
- Alpha Beta uses a proprietary investment process focusing on dynamic asset allocation and risk management which drives consistent returns within pre-agreed risk parameters - delivered at low cost.
- Alpha Beta has a highly experienced and qualified team across multiple disciplines.
- Alpha Beta strives to enhance customer outcomes.
- Alpha Beta has developed an enviable track record of investment performance and risk management within a broad proposition - with the highest possible independent endorsement from Defaqto.

COMPANY INTRODUCTION

Alpha Beta Partners is a globally focussed multi asset investment manager. We deploy modern investment techniques, sound judgement based on deep knowledge and experience aided by the latest financial technology.

We provide the investment engine and other critical investment related services on a modular basis - an “Intel inside” approach for the UK retail / wholesale market. This proposition enables Adviser groups to in-source as well as outsource based upon their requirements.

Our highly qualified team enjoys a strong track record, working consultatively with clients to enhance customer outcomes in both traditional and SRI solutions.

COMPANY PROFILE

LONDON CITY & BATH OFFICES

14 highly qualified and experienced staff across all investment management disciplines.

IN-HOUSE EXPERTISE

Working with clients to build a robust investment proposition to power Centralised Investment Process Centralised Retirement Process:

Model Portfolios
Unitised Funds
Bespoke Manufacture
Asset Allocation
Fund Selection & Monitoring
Risk Management
Business Consultancy
SRI and ESG experts

AWARDS

Recognised by Defaqto as a **Five Star** manager for breadth of proposition & importantly as a **Five Diamond** manager for investment process & performance

PROPRIETARY INVESTMENT PROCESS

Dynamic asset allocation within a rigorous risk managed framework delivers consistent returns. We strive to enhance customer outcomes.

DIFFERENTIATION

What distinguishes ABP is our seamless blend of systems, processes, people & expertise across all areas of our client services.

THE EXPERIENCED TEAM – KEY PEOPLE

Geoff Brooks
Chief Executive Officer

30+ years strategic and leadership roles including; HSBC Global Head of Wealth, CEO Offshore Europe, SVP Wealth USA, Sales Director Friends Provident and Standard Life.

John Reynolds
Investment Committee & Board Chair

35+ years investment experience including CIO at Capita, MD at RBS/ABN Investment Banking & top rated (Extel) Global Investment Strategist at NatWest Markets & ING Barings.

Andrew Thompson
Director

30+ years experience in distribution, investment management and proposition construction. Formerly Business Partner Head of UK Retail at Sarasin, Head of Strategic Clients and Head of Wholesale at Morley.

Asim Javed
Senior Investment Manager

10+ years CFA Charter Holder and Chartered Accountant. Various roles including Fund Accounting, Investment Consulting and Investment Risk Management.

George Higginson
NED

30+ years strategic and leadership roles including CEO Sesame Bankhall, Founder of Intrinsic Financial Services, executive career in Zurich Financial Services. Chairman Optimum Asset Management.

Peter Toogood
Investment Director

Co-founder of original Forsyth-OBSR Rating Service in 2002. Joined OBSR in 2008 before it was acquired by Morningstar in 2010, leading the advisory business. Co-founder The Adviser Centre in 2014. CIO of the Embark Group.

Gill Hutchison
Investment Consultant

Began career 1992 at Credit Suisse Private Banking. Specialising in management of portfolios. Joined OBSR in 2002 as Senior Fund Researcher. Head of Consultancy at OBSR. Co-founded The Adviser Centre in 2014. Research Director at the Embark Group.

Burhan Mayo / Mohsin Javed / Fahad Amjad / Saad Ali Khan
Investment Analysts

Qualified Finance Graduates with over 6 years of combined experience. Skilled in financial analysis, investment research and operations. The team is responsible for Investment Operations and risk reporting across all our solutions.

WHY ALPHA BETA... RIGHT SOLUTIONS... RIGHT TIME

- It is a tangible benefit to financial advisers both at customer and regulator level to know that the risk within a portfolio will not drift beyond what he/she has agreed with his/her client. The proprietary "*Risk First*" process underwrites this key point for advisers. Deploying state of the art systems and being risk focused provides this benefit.

- Deploying proven institutional management techniques and supporting systems enables us to deliver forward looking asset allocation methodologies and to constantly appraise performance to ensure we deliver or surpass the target return objective, always within the allocated risk budget.

- Alpha Beta works consultatively with clients to create investment propositions which match specific requirements across both accumulation and decumulation environments. We work with leading academics in Cass Business School to devise appropriate modern solutions.

- Active management of Passive and Active solutions - asset allocation is the evidence based driver of 90%+ of total returns. Alpha Beta sits in the sweet spot between the passive players and active funds. We provide strong and consistent risk adjusted returns and enhance customer outcomes.

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Alpha Beta PARTNERS

Alpha Beta
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